

10/562185
IAP9 Rec'd PCT/PTO 22 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Pascal J. Gauthereon ET AL.

Appln. No.: TBA

Filed: December 22, 2005

For: SYSTEM AND METHOD OF
INVESTING FUNDS

Attorney Docket No: 10022-802

Examiner: TBA

Art Unit: TBA

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference(s):

U.S. PATENTS

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
2002/0138381 A1	09/26/2002	Tomecek

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). As each of the listed references is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

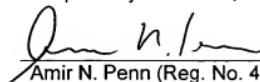
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

12/22/05

Date


Amir N. Penn (Reg. No. 40,767)

10/562185

IAP9 Rec'd PC/PTO 22 DEC 2005

FORM PTO-1449		APPLICATION NO.	ATTORNEY DOCKET NO.
		TBA	10022-802
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)		FILING DATE	GROUP ART UNIT
		December 22, 2005	TBA
		FIRST NAMED INVENTOR: Gauthereon, Pascal J., et al.	
		EXAMINER NAME: TBA	

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
A1	2002/0138381 A1		09/26/2002	Tomecek		
A2						
A3						
A4						
A5						
A6						
A7						
A8						
A9						
A10						
A11						
A12						
A13						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number- Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	T
A14						
A15						
A16						
A17						
A18						

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)		T
A19			
A20			
A21			
A22			
A23			
A24			

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER /Ryan Donlon/	DATE CONSIDERED 11/14/2008
------------------------	----------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
 Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

133432.1

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /R.D./